

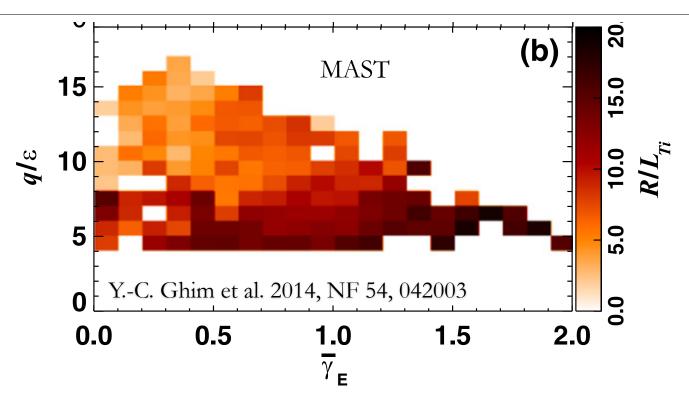


# Two études (time permitting) on unexpected behaviour of drift-wave turbulence (in MAST) near the stability threshold

Ferdinand van Wyk (Oxford *DPhil 2017*), E. Highcock (Chalmers), Michael J. Fox (Oxford *DPhil 2017*), A. Field (Culham), Y.-c. Ghim (KAIST), Greg Colyer (Oxford *DPhil 2017*), C. Roach (Culham), F. Parra, M. Barnes (Oxford), W. Dorland (Maryland), Alexander Schekochihin (Oxford)

F. van Wyk et al. 2016, JPP 82, 905820609
F. Van Wyk et al. 2017, PPCF 59, 114003
M. F. J. Fox et al. 2017, PPCF 59, 034002
G. J. Colyer et al. 2017, PPCF 59, 055002

#### Does Flow Shear Matter?

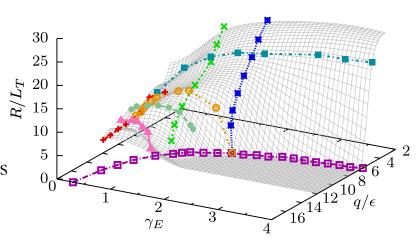


Correlation or causation?

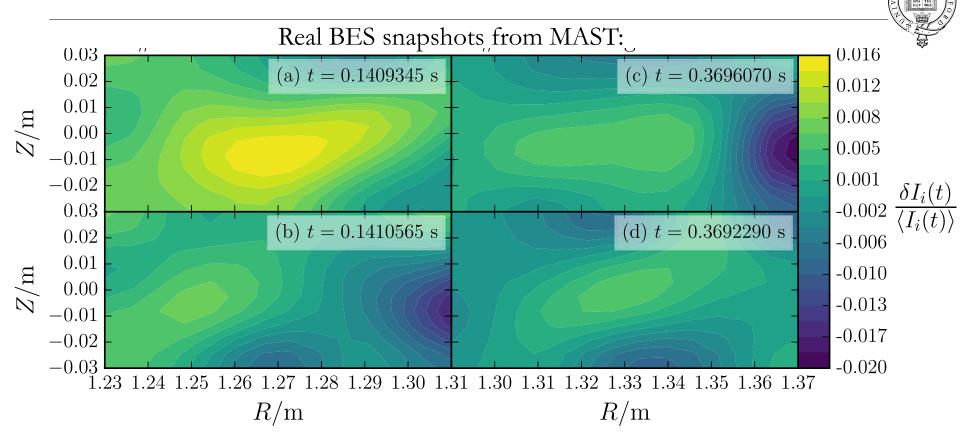
Note: There are good **theoretical reasons** to believe that flow shear changes the threshold [e.g., Newton et al. 2010, PPCF 52, 25001;

AAS et al. 2012, PPCF 54, 055011].

There is also **numerical evidence** from CBC simulations [Highcock et al. 2012, PRL 109, 265001]. But do we know this happens in real tokamaks?

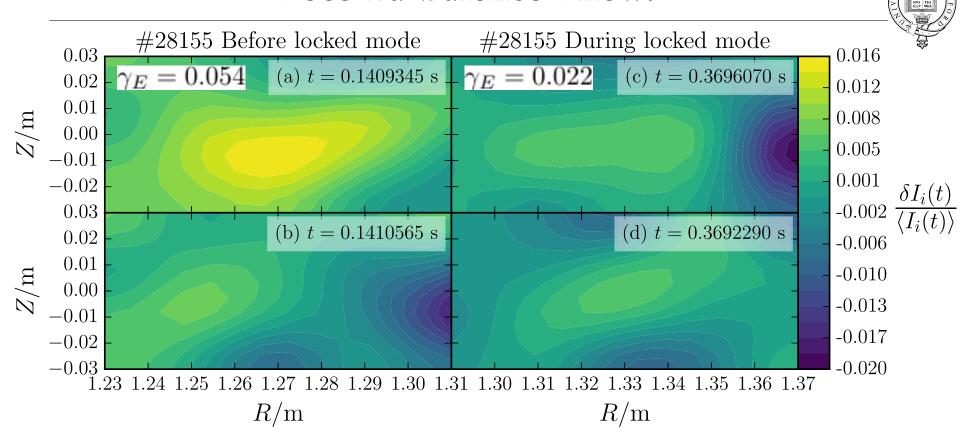


#### Does Turbulence Know?

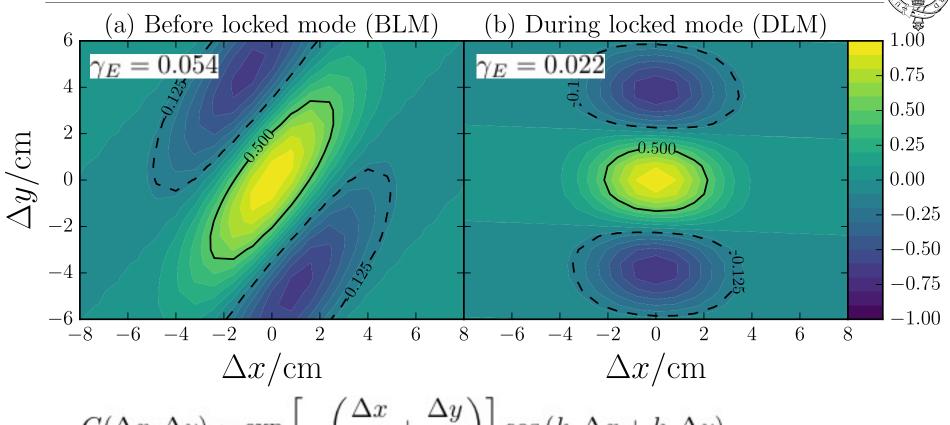


Which of these has flow shear?

#### Does Turbulence Know?



# Turbulence Knows, But Does it Care?



$$C(\Delta x, \Delta y) \sim \exp\left[-\left(\frac{\Delta x}{l_x^2} + \frac{\Delta y}{l_y^2}\right)\right] \cos\left(k_x \Delta x + k_y \Delta y\right)$$

$$k_x(t) = k_x(0) - k_y \gamma_E t \implies k_x \sim k_y \gamma_E \tau_c$$

Tilt angle: 
$$\tan\Theta = -\frac{k_x}{k_y} \sim \gamma_E \tau_c$$

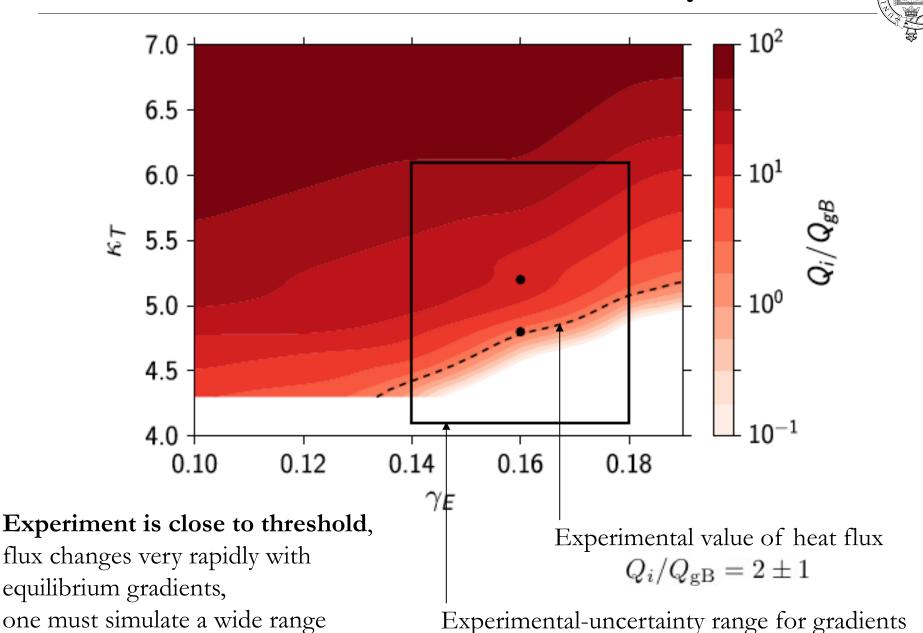
Extracting these from BES data is a lot of work.

Michael Fox developed guita

Michael Fox developed quite a sophisticated system for this: it is described in exhaustive detail in Fox et al. 2017, PPCF 59, 044008

M. F. J. Fox et al. 2017, PPCF 59, 034002

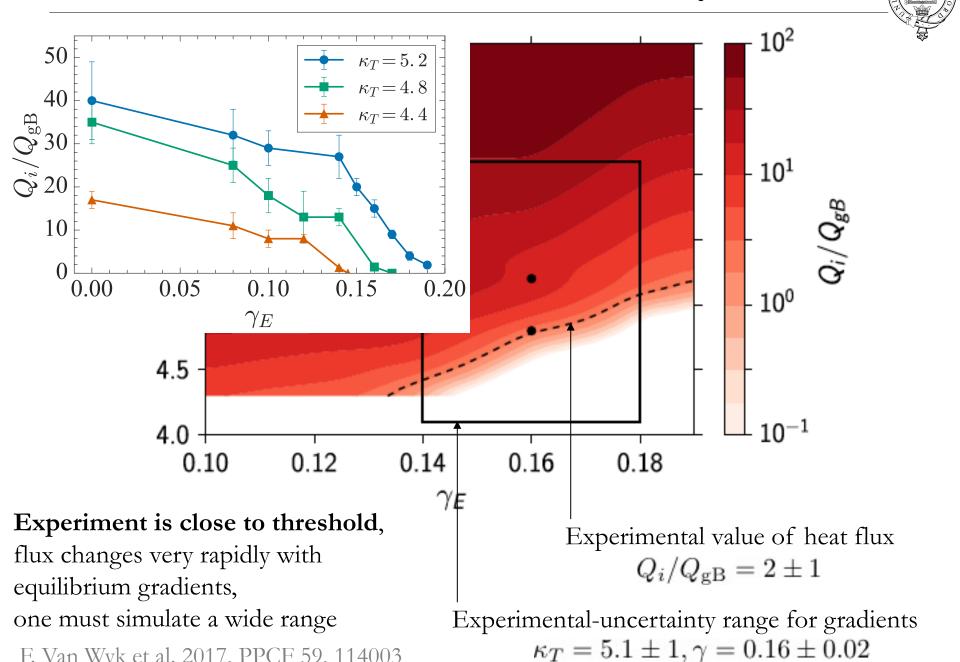
# MAST GK Simulations: Shear is a Stability Parameter



 $\kappa_T = 5.1 \pm 1, \gamma = 0.16 \pm 0.02$ 

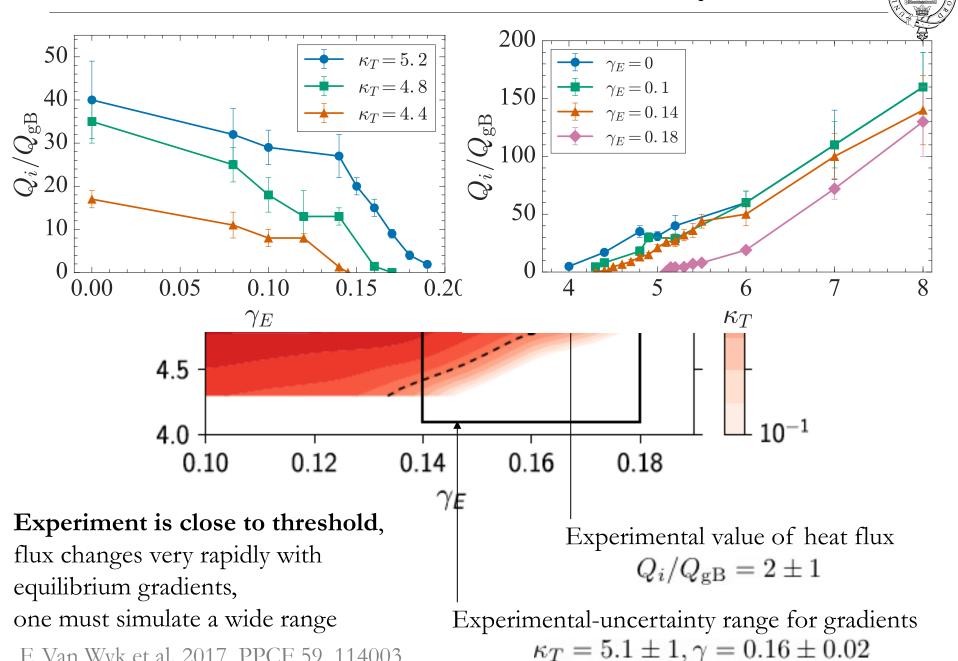
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# MAST GK Simulations: Shear is a Stability Parameter



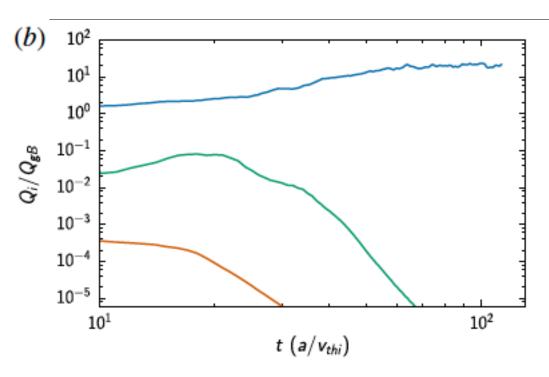
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# MAST GK Simulations: Shear is a Stability Parameter



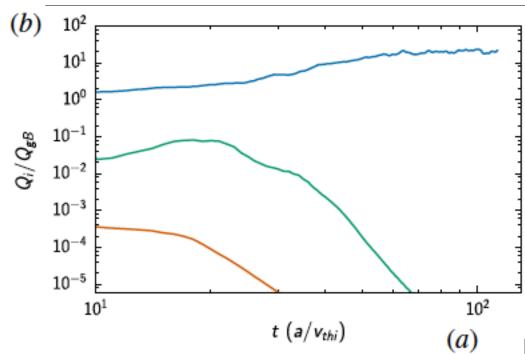
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## Subcritical Turbulence: Threshold is Nonlinear



All cases with flow shear are formally linearly stable. Finite initial perturbation needed for a non-zero nonlinear state to be achieved. **Turbulence is subcritical.** 

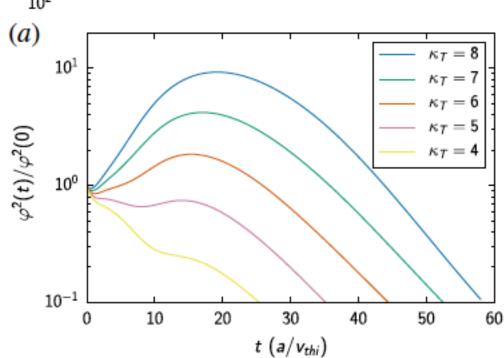
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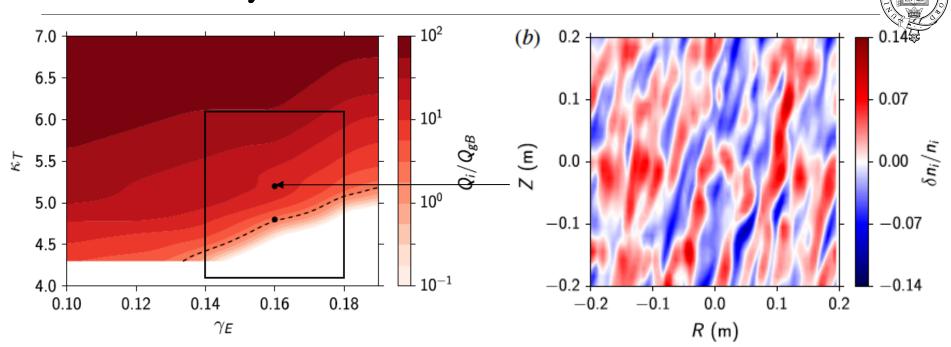
In the linear approximation, perturbations grow transiently. They eventually decay because flow shear pushes  $k_x$  to large values:

$$k_x(t) = k_x(0) - k_y \gamma_E t$$

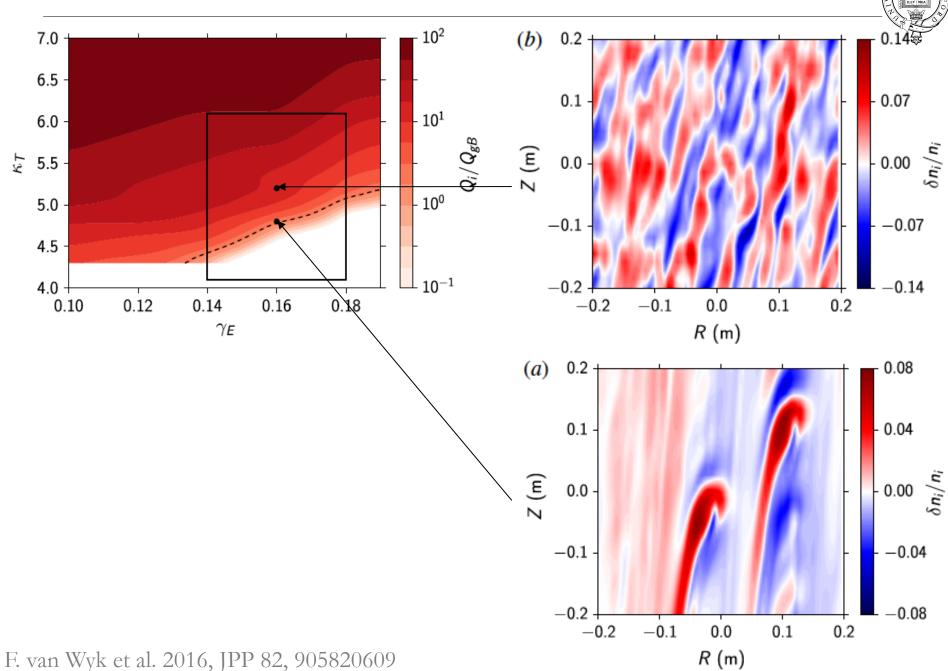


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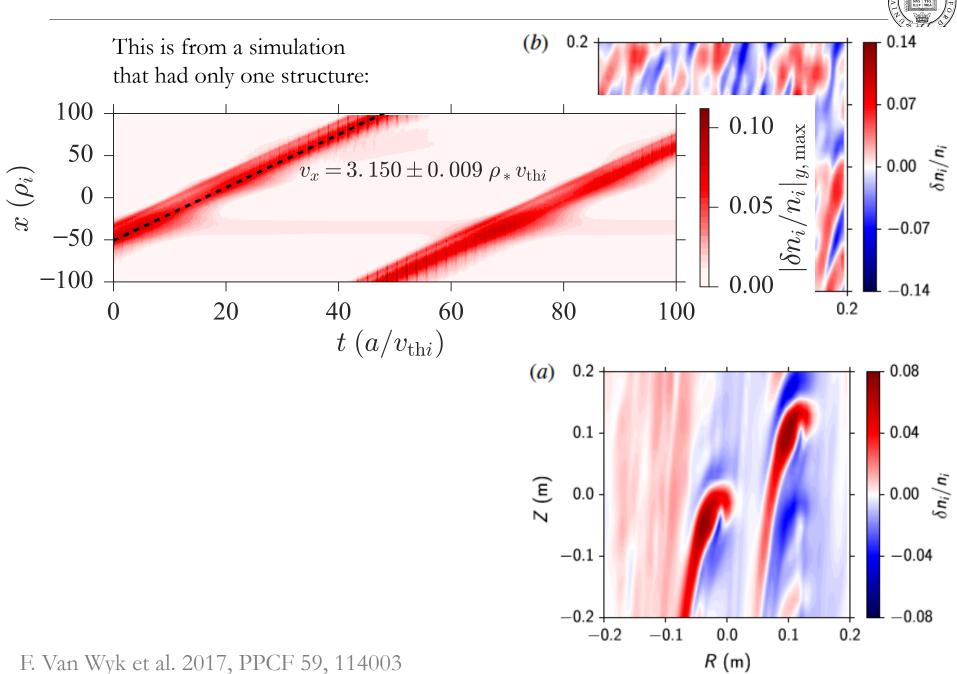
## Away From Threshold: Vanilla ITG



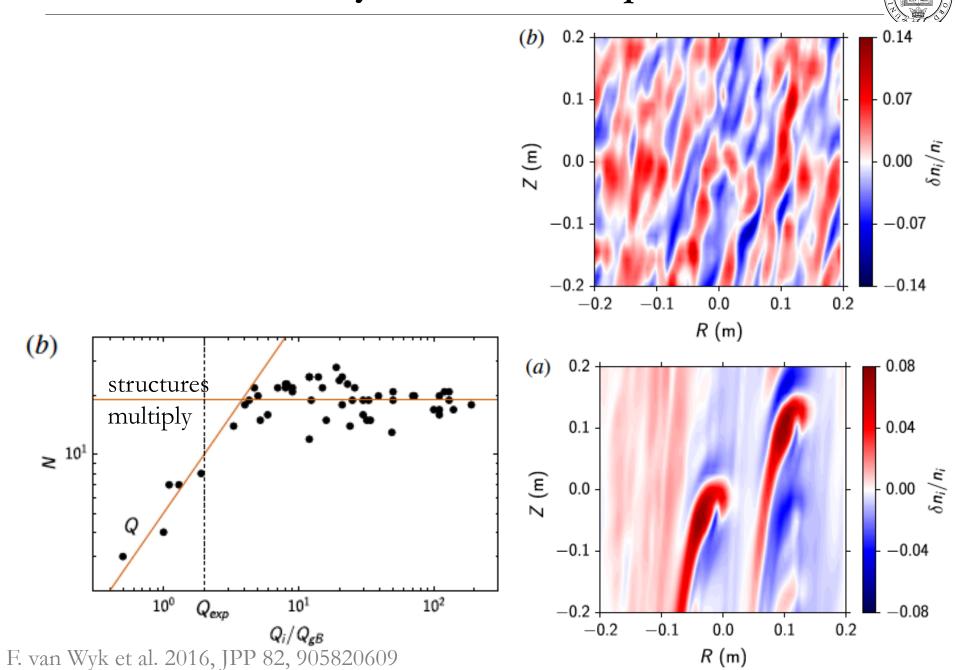
## Near Threshold: Exotic Beasts Roam



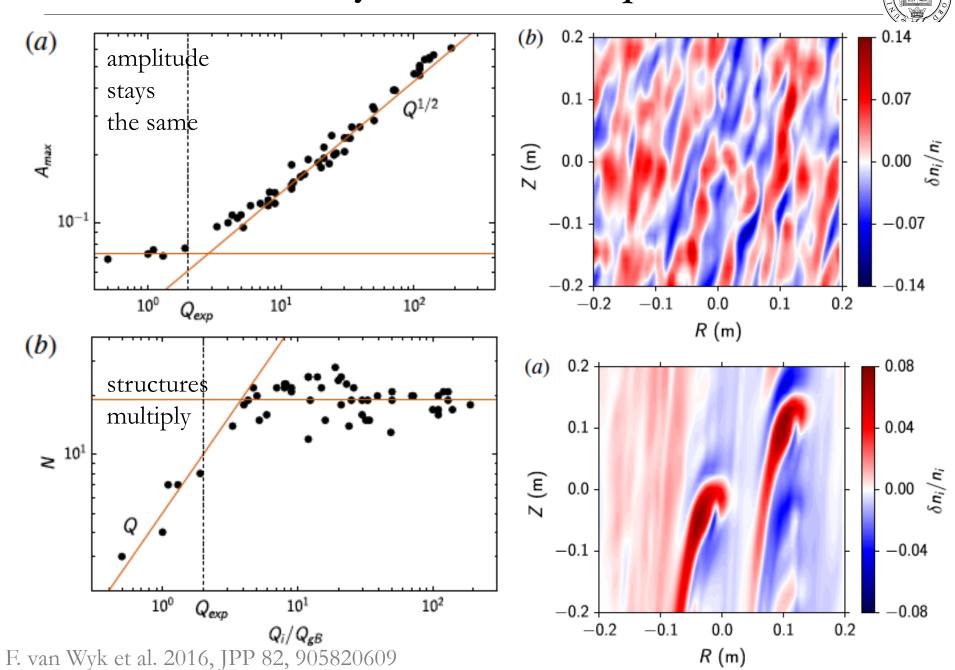
## **Structures Live Forever**



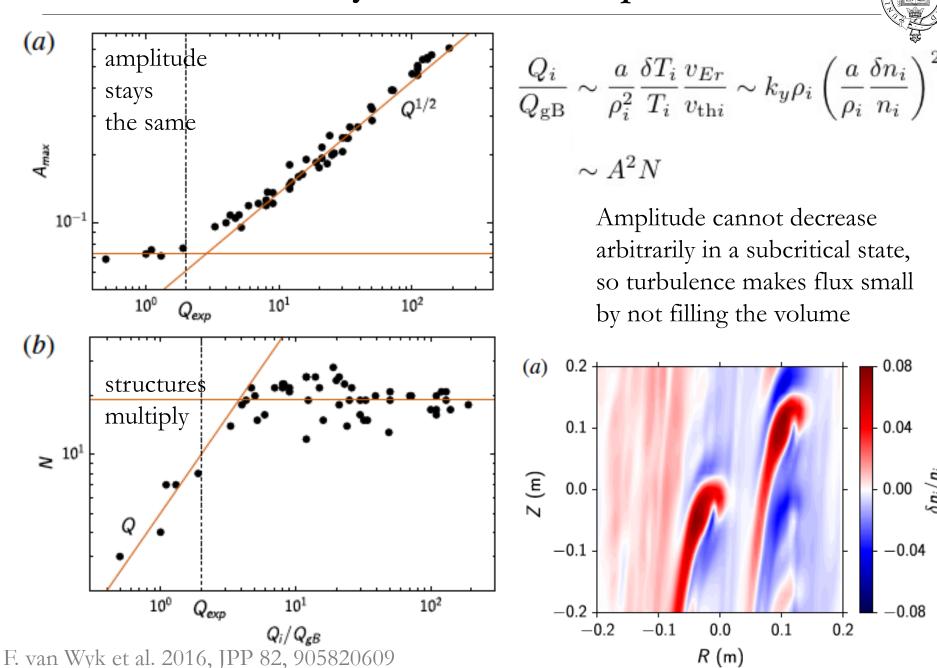
# Transition by Structure Multiplication



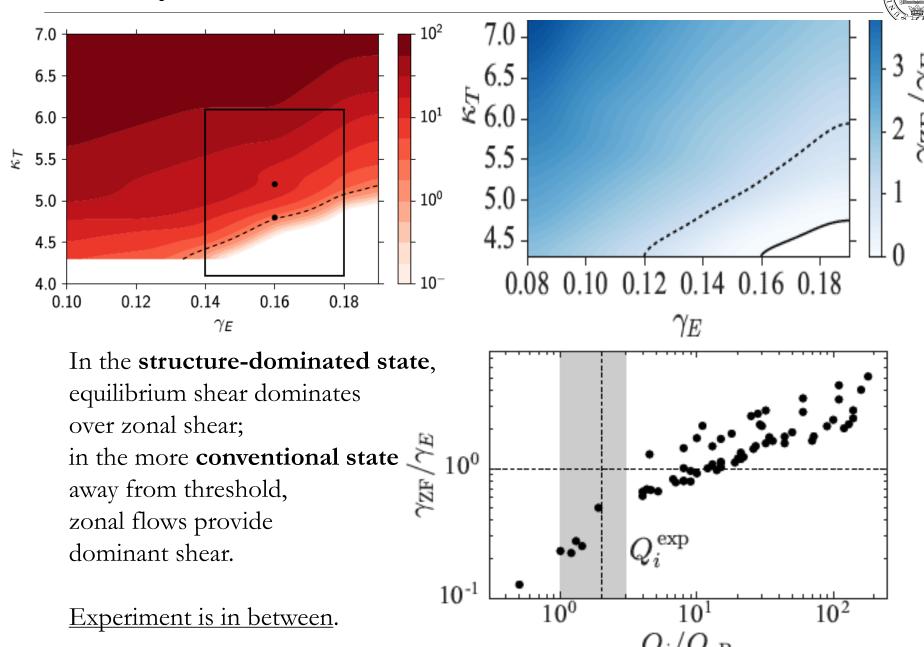
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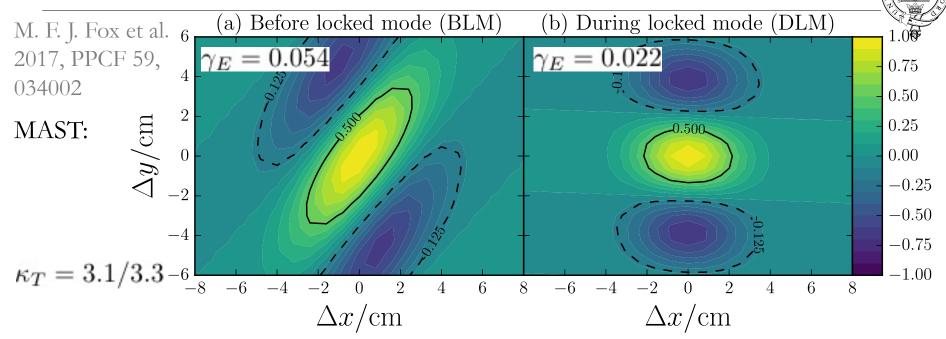
## Transition by Structure Multiplication



# Away From Threshold: Zonal Flows Take Over



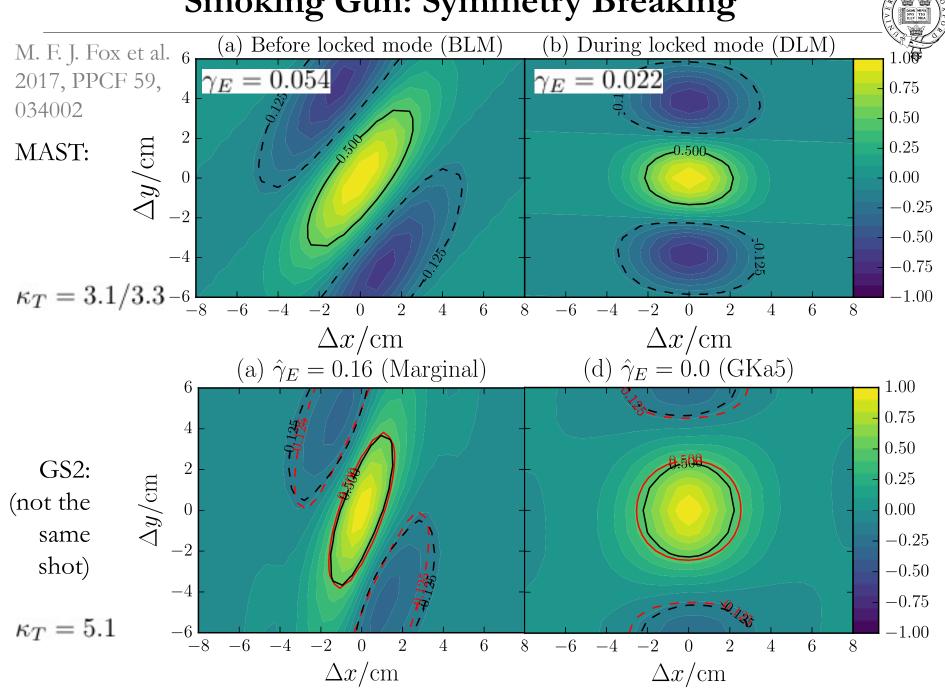
F. Van Wyk et al. 2017, PPCF 59, 114003



Shear breaks reflectional/up-down symmetry [Parra et al. 2011, PoP 18, 062501] of the fluctuation field.

$$\begin{split} &(x,y,z,v_\parallel) \to (-x,y,-z,-v_\parallel) \\ &(h,\varphi,A_\parallel,\delta B_\parallel) \to (-h,-\varphi,A_\parallel,-\delta B_\parallel) \end{split}$$

In a two-point correlation function, this symmetry breaking manifests as a **tilt**.



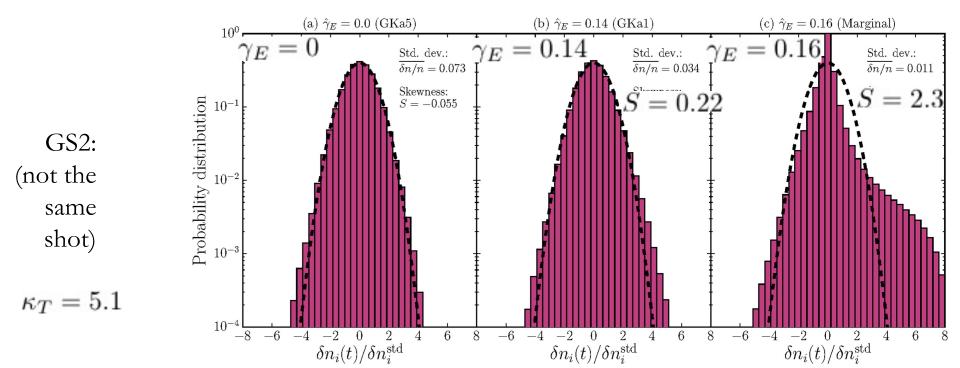


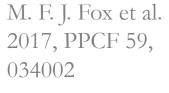
M. F. J. Fox et al. 2017, PPCF 59, 034002

The reflectional/up-down symmetry breaking also allows the fluctuation field's one-point distribution function to become **skewed**.

Simulations show that it indeed does so close to threshold.

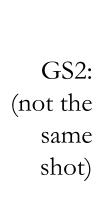
This is not hard to measure experimentally!



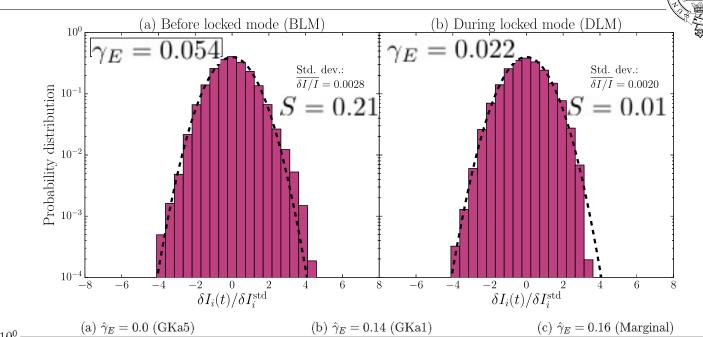


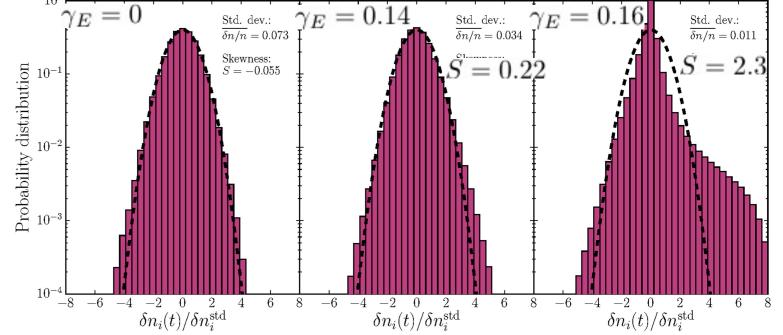
MAST:

$$\kappa_T = 3.1/3.3$$

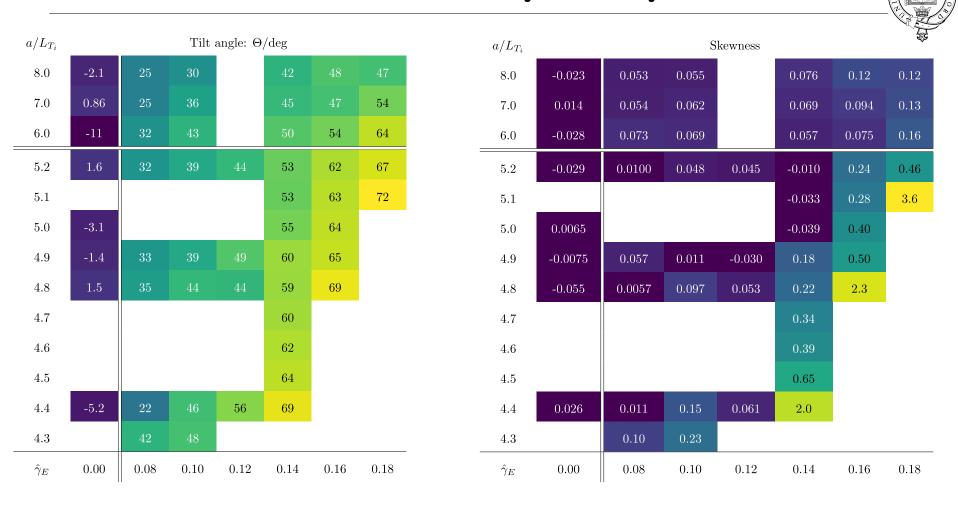


$$\kappa_T = 5.1$$



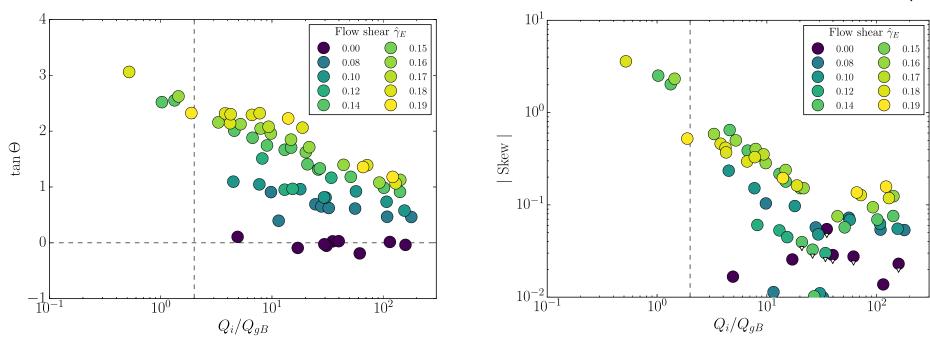


## Restoration of Symmetry



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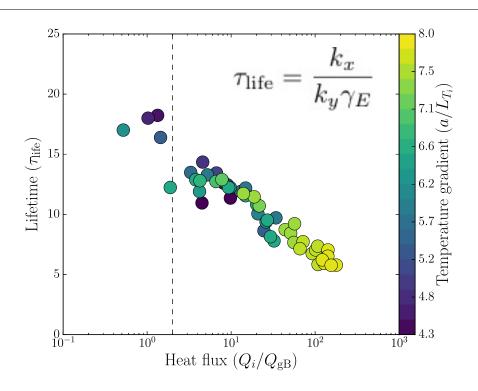




 $Q_i/Q_{\rm gB}$  is a good measure of distance to threshold (order parameter). Statistical properties depend more sensitively on it than on individual values of the equilibrium gradients.

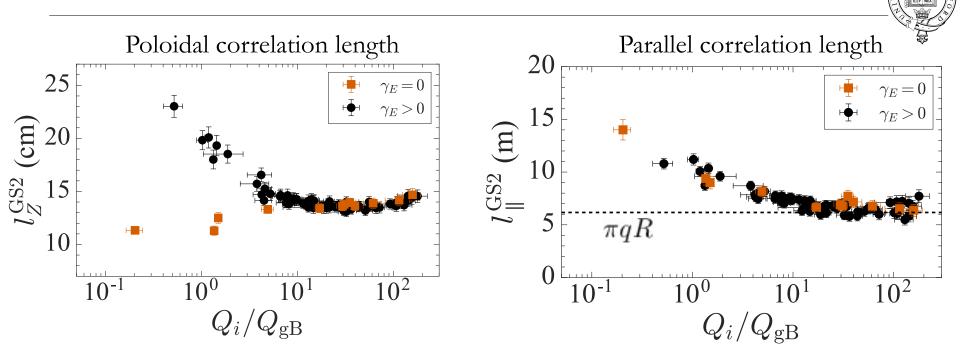
#### Distance to Threshold Is the Relevant Parameter





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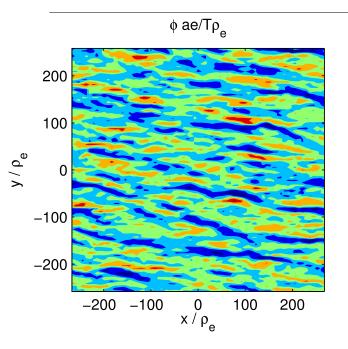
### Distance to Threshold Is the Relevant Parameter



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## Meanwhile, at Electron Scales...



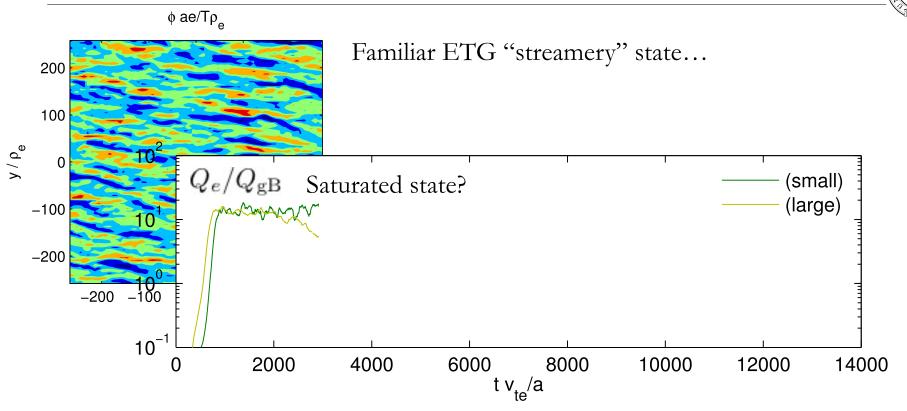


Familiar ETG "streamery" state...

#### Standard numerical set up (with GS2):

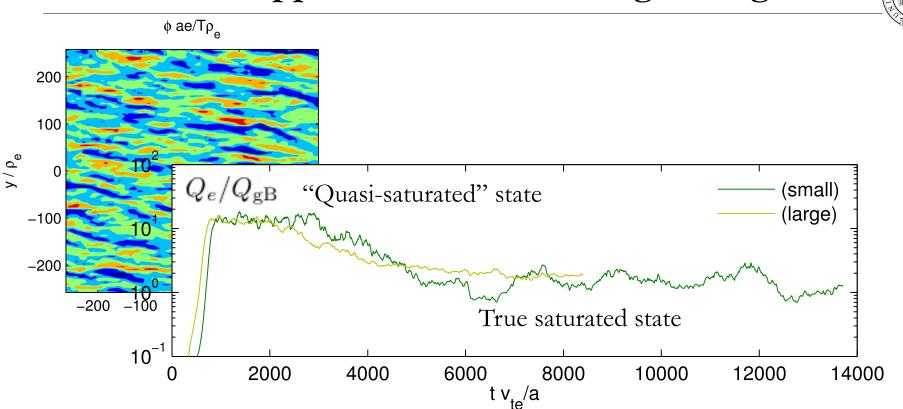
## Meanwhile, at Electron Scales...





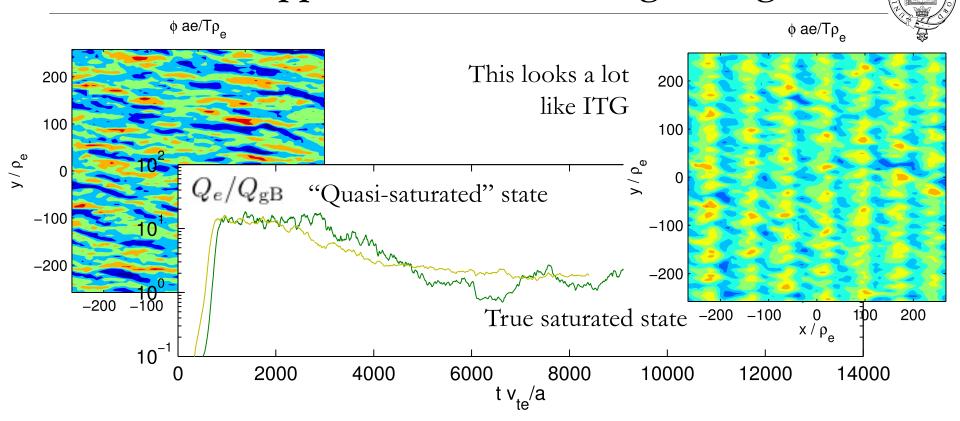
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## What Happens If You Wait Long Enough



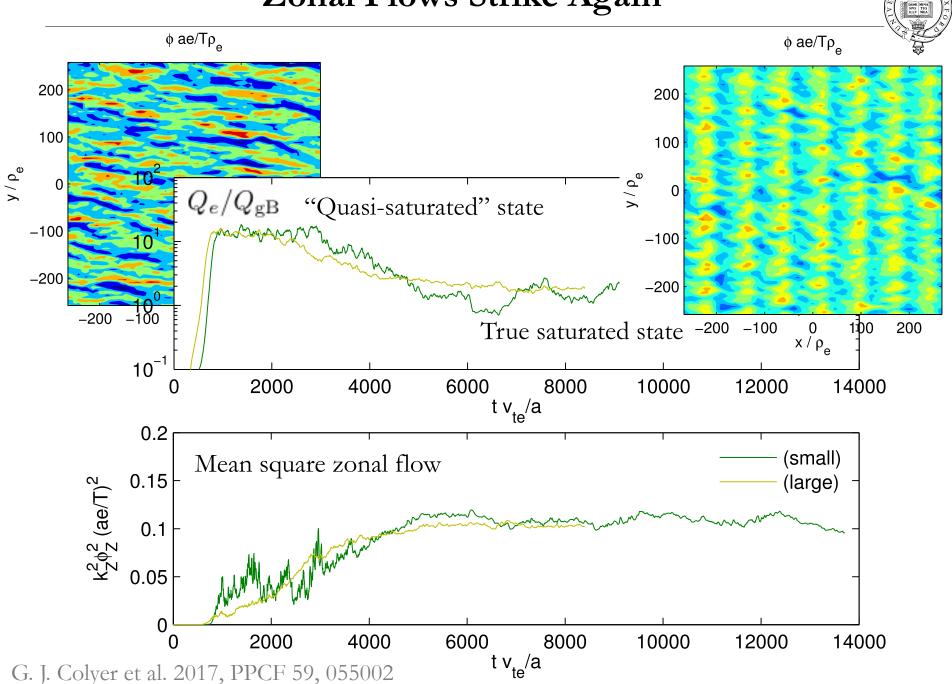
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# What Happens If You Wait Long Enough

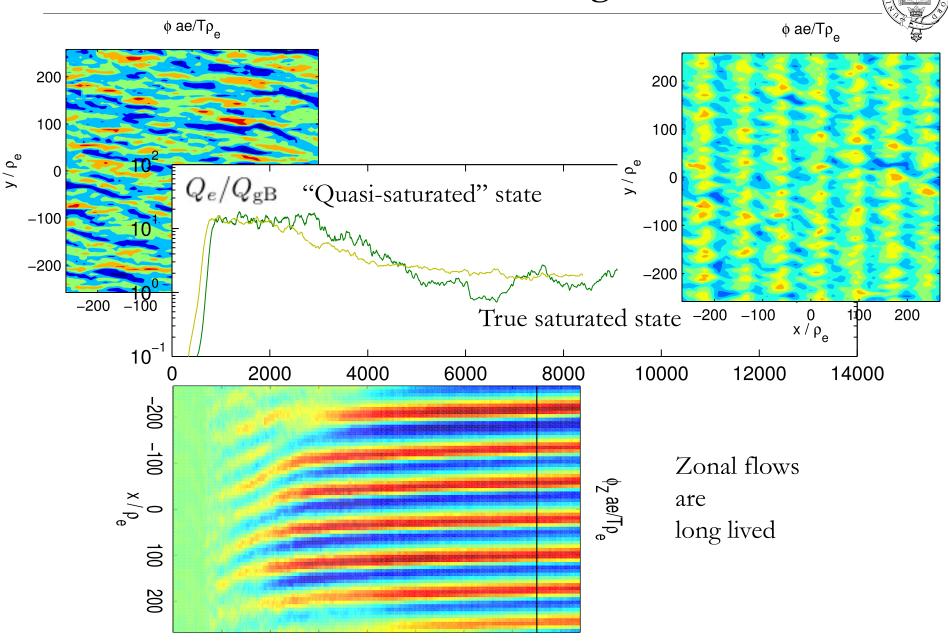


#### Standard numerical set up (with GS2):

## Zonal Flows Strike Again



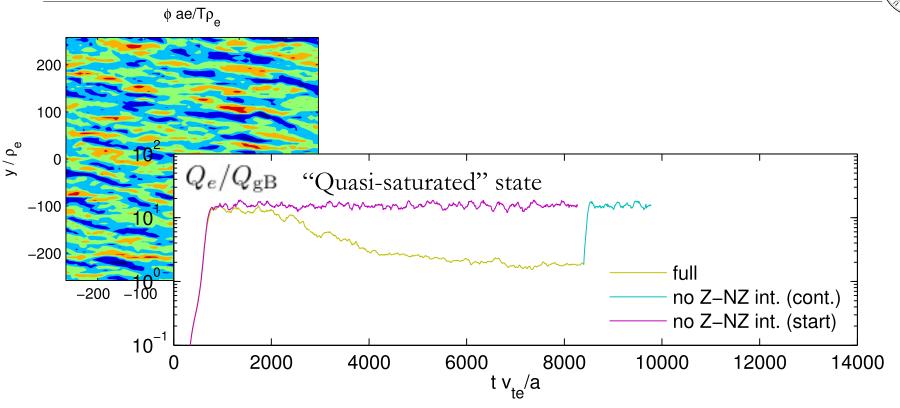
# Zonal Flows Strike Again



G. J. Colyer et al. 2017, PPCF 59, 055002

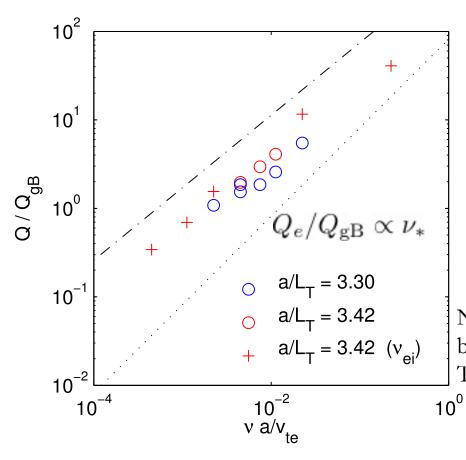
## **Zonal Flows Strike Again**





Disconnecting zonal feedback on drift waves returns the system to the "quasi-saturated" streamery state

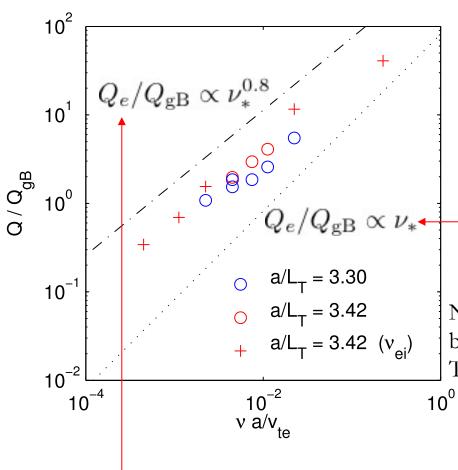
## **Collisions Now Matter**



(in the "quasi-saturated" state, heat flux did not depend on collisionality)

Note: crosses are obtained by varying only  $\nu_{ei}$ . This will make sense in a moment.

#### **Collisions Now Matter**



(in the "quasi-saturated" state, heat flux did not depend on collisionality)

We will show that this makes sense theoretically

Note: crosses are obtained by varying only  $\nu_{ei}$ . This will make sense in a moment.

MAST claims [Valovic et al. 2011, NF 51, 073045] that

$$\frac{Q}{Q_{\rm gB}} = \frac{Q}{nTv_{\rm the}\rho_*^2} = \frac{Q}{nTa\Omega_e\rho_*^3} \sim \frac{1}{\Omega_e\tau_{\rm E}\rho_*^3} \propto \frac{1}{B\tau_{\rm E}} \propto \nu_*^{0.82\pm0.1}$$

#### **Zonal Modes Are Slow**



$$\frac{\partial}{\partial t} \frac{e\phi}{T} = \nu_{ei} k_x^2 \rho_{pe}^2 \left( a_{11} \frac{e\phi}{T} + a_{12} \frac{\delta T}{T} \right)$$

$$\frac{\partial}{\partial t} \frac{\delta T}{T} = \nu_{ei} k_x^2 \rho_{pe}^2 \left( a_{21} \frac{e\phi}{T} + a_{22} \frac{\delta T}{T} \right)$$

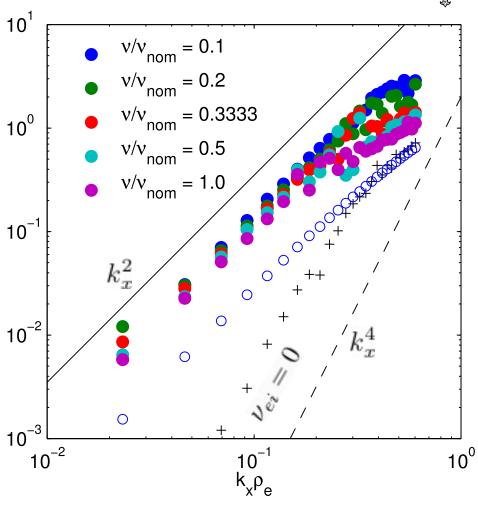
$$+ \nu_{ee} k_x^2 \rho_{pe}^2 \left( b_{21} \frac{e\phi}{T} + b_{22} \frac{\delta T}{T} \right)$$

$$\downarrow \downarrow$$

$$\gamma_Z \propto \nu_{ei} k_x^2 \rho_{pe}^2$$

So it takes a while to develop zonal modes and it is hard to get rid of them.

Hence the second timescale in the problem and hence also collisionality dependence (only *e-i* collisionality entering the zonal part of GK equation matters)



Note: we have verified numerically that only the zonal *e-i* collisionality affects the scaling of the heat flux

#### Zonal Modes vs. Drift Waves Détente



$$h = h_{\text{NZ}} + h_{\text{Z}}, \quad \phi = \phi_{\text{NZ}} + \phi_{\text{Z}}$$

$$\frac{\partial}{\partial t} \left( h + \frac{e \left\langle \phi \right\rangle}{T} F \right) + (v_{\parallel} \mathbf{b} + \mathbf{v}_{B}) \cdot \nabla h \quad \text{ELECTRON GYROKINETICS}$$

$$\text{streaming mag drifts}$$

$$+ \left\langle \mathbf{v}_{E} \right\rangle \cdot \nabla h + \left\langle \mathbf{v}_{E} \right\rangle \cdot \nabla F = \left\langle C[h] \right\rangle$$

$$\text{nonlinearity drive (eq. grads) collisions}$$

$$\mathbf{v}_{E} = \frac{c}{B} \mathbf{b} \times \nabla \phi$$

$$\frac{e \phi}{T_{e}} \left( 1 + \frac{1}{\tau} \right) = -\frac{1}{n} \int d^{3}\mathbf{v} \left\langle h \right\rangle_{\mathbf{r}}$$
Boltzmann ions



$$h = h_{\text{NZ}} + h_{\text{Z}}, \quad \phi = \phi_{\text{NZ}} + \phi_{\text{Z}}$$

$$\frac{\partial}{\partial t} \left( h_{\text{NZ}} + \frac{e \left\langle \phi_{\text{NZ}} \right\rangle}{T} F \right) + (v_{\parallel} \mathbf{b} + \mathbf{v}_{\text{B}}) \cdot \nabla h_{\text{NZ}} - \left\langle C \left[ h_{\text{NZ}} \right] \right\rangle$$

$$+ \left\langle \mathbf{v}_{E,\text{NZ}} \right\rangle \cdot \nabla h_{\text{Z}} + \left\langle \mathbf{v}_{E,\text{Z}} \right\rangle \cdot \nabla h_{\text{NZ}}$$

$$\frac{Z - \text{NZ interaction (I)}}{Z - \text{NZ interaction (I)}}$$

$$+ \left\langle \mathbf{v}_{E,\text{NZ}} \right\rangle \cdot \nabla h_{\text{NZ}} - \left\langle \overline{\mathbf{v}_{E,\text{NZ}}} \right\rangle \cdot \nabla h_{\text{NZ}} = \underbrace{-\left\langle \mathbf{v}_{E,\text{NZ}} \right\rangle \cdot \nabla F}_{\text{energy injection (II)}}$$

$$\frac{\partial}{\partial t} \left( h_{\mathbf{Z}} + \frac{e \langle \phi_{\mathbf{Z}} \rangle}{T} F \right) + (v_{\parallel} \mathbf{b} + \mathbf{v}_{B}) \cdot \nabla h_{\mathbf{Z}} - \underbrace{\langle C[h_{\mathbf{Z}}] \rangle}_{\text{damping (III)}} = \underbrace{-\langle \mathbf{v}_{E, \mathbf{NZ}} \rangle \cdot \nabla h_{\mathbf{NZ}}}_{\text{energy injection (IV)}}$$

$$h = h_{\text{NZ}} + h_{\text{Z}}, \quad \phi = \phi_{\text{NZ}} + \phi_{\text{Z}} \quad \text{NB: } \frac{h}{F} \sim \frac{e\phi}{T}$$

$$\frac{\partial}{\partial t} \left( h_{\text{NZ}} + \frac{e \left\langle \phi_{\text{NZ}} \right\rangle}{T} F \right) + (v_{\parallel} \mathbf{b} + \mathbf{v}_{B}) \cdot \nabla h_{\text{NZ}} - \left\langle C \left[ h_{\text{NZ}} \right] \right\rangle$$

$$+ \underbrace{\left\langle \mathbf{v}_{E,\text{NZ}} \right\rangle \cdot \nabla h_{\text{Z}} + \left\langle \mathbf{v}_{E,\text{Z}} \right\rangle \cdot \nabla h_{\text{NZ}}}_{Z-\text{NZ interaction (I)}} \sim \frac{c}{B} k_{y} \phi_{\text{NZ}} k_{z} h_{z}$$

$$= -\langle \mathbf{v}_{E,\text{NZ}} \rangle \cdot \nabla h_{\text{NZ}} - \langle \mathbf{v}_{E,\text{NZ}} \rangle \cdot \nabla h_{\text{NZ}} = -\langle \mathbf{v}_{E,\text{NZ}} \rangle \cdot \nabla F$$
energy injection (II)
$$\frac{\partial}{\partial t} \left( h_{\text{Z}} + \frac{e \left\langle \phi_{\text{Z}} \right\rangle}{T} F \right) + (v_{\parallel} \mathbf{b} + \mathbf{v}_{B}) \cdot \nabla h_{\text{Z}} - \frac{c}{B} k_{y} \phi_{\text{NZ}} \frac{F}{L_{T}}$$

$$- \underbrace{\left\langle C \left[ h_{\text{Z}} \right] \right\rangle}_{\text{damping (III)}} = -\overline{\left\langle \mathbf{v}_{E,\text{NZ}} \right\rangle \cdot \nabla h_{\text{NZ}}}_{\text{energy injection (IV)}}$$

$$\sim \gamma_{\text{Z}} h_{\text{Z}} - \frac{c}{B} k_{\text{Z}} k_{y} \phi_{\text{NZ}} h_{\text{NZ}}$$

G. J. Colyer et al. 2017, PPCF 59, 055002

$$h = h_{
m NZ} + h_{
m Z}, \ _{
m nonzonal} \ _{
m zonal} \ k_{
m Z} h_{
m Z} \sim rac{F}{L_T}$$

 $\frac{c}{B}k_y\phi_{\mathrm{NZ}}k_{\mathrm{Z}}h_{\mathrm{Z}}$ 

 $\phi = \phi_{NZ} + \phi_{Z}$  NB:  $\frac{h}{F} \sim \frac{e\phi}{T}$ 

Note: this argument is unlikely to be valid far from threshold: presumably, NZ-NZ interactions will start to matter [see Barnes et al. 2011, PRL 107, 115003]

$$\frac{\partial}{\partial t} \left( h_{\mathbf{Z}} + \frac{e \langle \phi_{\mathbf{Z}} \rangle}{T} F \right) + (v_{\parallel} \mathbf{b} + \mathbf{v}_{\mathbf{B}}) \cdot \nabla h_{\mathbf{Z}} - \underline{\langle C [h_{\mathbf{Z}}] \rangle} =$$

 $= -\overline{\langle \mathbf{v}_{E,\mathrm{NZ}} \rangle \cdot \nabla h_{\mathrm{NZ}}}$   $= -\overline{\langle \mathbf{v}_{E,\mathrm{NZ}} \rangle \cdot \nabla h_{\mathrm{NZ}}}$   $= -\overline{\langle \mathbf{v}_{E,\mathrm{NZ}} \rangle \cdot \nabla h_{\mathrm{NZ}}}$   $= -\overline{\langle \mathbf{v}_{E,\mathrm{NZ}} \rangle \cdot \nabla h_{\mathrm{NZ}}}$ 

$$\sim \gamma_{
m Z} h_{
m Z}$$

damping (III)

$$\sim \frac{c}{R} k_{\rm Z} k_y \phi_{\rm NZ} h_{\rm NZ}$$

$$h = h_{\text{NZ}} + h_{\text{Z}}, \quad \phi = \phi_{\text{NZ}} + \phi_{\text{Z}}$$
 NB:  $\frac{h}{F} \sim \frac{e\phi}{T}$ 
 $k_{\text{Z}}h_{\text{Z}} \sim \frac{F}{L_{T}}$ 
 $\downarrow \downarrow$ 
 $k_{\text{Z}}\frac{e\phi_{\text{Z}}}{T} \sim \frac{1}{L_{T}}$   $\Leftrightarrow k_{\text{Z}}\frac{\delta T}{T} \sim \frac{1}{L_{T}}$ 

$$\frac{\partial}{\partial t} \left( h_{\rm Z} + \frac{e \left\langle \phi_{\rm Z} \right\rangle}{T} F \right) + \left( v_{\parallel} \mathbf{b} + \mathbf{v}_{B} \right) \cdot \nabla h_{\rm Z} \\ - \left\langle C \left[ h_{\rm Z} \right] \right\rangle = \underbrace{-\left\langle \mathbf{v}_{E,\rm NZ} \right\rangle \cdot \nabla h_{\rm NZ}}_{\text{energy injection (IV)}} \\ - \gamma_{\rm Z} h_{\rm Z} \qquad \sim \frac{c}{B} k_{\rm Z} k_{y} \phi_{\rm NZ} h_{\rm NZ}$$
G. J. Colyer et al. 2017, PPCF 59, 055002

$$h = h_{\text{NZ}} + h_{\text{Z}}, \quad \phi = \phi_{\text{NZ}} + \phi_{\text{Z}} \qquad \text{NB: } \frac{h}{F} \sim \frac{e\phi}{T}$$

$$k_{\text{Z}}h_{\text{Z}} \sim \frac{F}{L_{T}} \qquad \Rightarrow k_{\text{Z}}\frac{\delta T}{T} \sim \frac{1}{L_{T}} \sim \frac{1}{L_{T}} \qquad \Rightarrow k_{\text{Z}}\frac{\delta T}{T} \sim \frac{1}{L_{T}} \sim \frac{1}{L_{T}} \qquad \Rightarrow k_{\text{Z}}\frac{\delta T}{T} \sim \frac{1}{L_{T}} \sim$$



$$h = h_{NZ} + h_{Z}, \quad \phi = \phi_{NZ} + \phi_{Z}$$

$$k_{\rm Z} \frac{e\phi_{\rm Z}}{T} \sim \frac{1}{L_T}$$

$$\frac{\phi_{\mathrm{NZ}}^2}{\phi_{\mathrm{Z}}^2} \sim \frac{\phi_{\mathrm{NZ}} h_{\mathrm{NZ}}}{\phi_{\mathrm{Z}} h_{\mathrm{Z}}} \sim \frac{\gamma_{\mathrm{Z}} B}{c k_y k_{\mathrm{Z}} \phi_{\mathrm{Z}}}$$

Note: this argument is unlikely to be valid far from threshold: presumably, zonal flows will be limited by tertiary instability, not collisional viscosity [see Rogers et al. 2000, PRL 85, 5336]







$$h = h_{NZ} + h_{Z}, \quad \phi = \phi_{NZ} + \phi_{Z}$$

$$\frac{k_{\rm Z} \frac{e\phi_{\rm Z}}{T} \sim \frac{1}{L_T}}{\frac{\phi_{\rm NZ}^2}{\phi_{\rm Z}^2} \sim \frac{\phi_{\rm NZ} h_{\rm NZ}}{\phi_{\rm Z} h_{\rm Z}} \sim \frac{\gamma_{\rm Z} B}{c k_y k_{\rm Z} \phi_{\rm Z}} \sim \frac{\gamma_{\rm Z} e B L_T}{c k_y T} \sim \frac{1}{k_y \rho_e} \frac{\gamma_{\rm Z}}{v_{\rm the}/L_T}$$

Note: this argument is unlikely to be valid far from threshold: presumably, zonal flows will be limited by tertiary instability, not collisional viscosity [see Rogers et al. 2000, PRL 85, 5336]



 $\frac{c}{B}k_{\mathrm{Z}}k_{y}\phi_{\mathrm{NZ}}h_{\mathrm{NZ}}$ 

G. J. Colyer et al. 2017, PPCF 59, 055002



$$h = h_{NZ} + h_{Z}, \quad \phi = \phi_{NZ} + \phi_{Z}$$

$$k_{\rm Z} \frac{e\phi_{\rm Z}}{T} \sim \frac{1}{L_T}$$

$$\frac{\phi_{
m NZ}^2}{\phi_{
m Z}^2} \sim \frac{1}{k_y \rho_e} \frac{\gamma_{
m Z}}{v_{
m th}_e/L_T}$$

$$\frac{Q}{Q_{\rm gB}} \sim \frac{n\delta T_{\rm NZ} v_{Ex}}{nT v_{\rm the} \rho_*^2} \sim \frac{\delta T_{\rm NZ}}{T} \frac{c k_y \phi_{\rm NZ}}{B v_{\rm the} \rho_*^2} \sim k_y \rho_e \left(\frac{e \phi_{\rm NZ}}{T \rho_*}\right)^2$$



$$h = h_{NZ} + h_{Z}, \quad \phi = \phi_{NZ} + \phi_{Z}$$

$$\begin{aligned} k_{\rm Z} \frac{e\phi_{\rm Z}}{T} &\sim \frac{1}{L_T} \\ \frac{\phi_{\rm NZ}^2}{\phi_{\rm Z}^2} &\sim \frac{1}{k_y \rho_e} \frac{\gamma_{\rm Z}}{v_{\rm the}/L_T} \\ \frac{Q}{Q_{\rm gB}} &\sim \frac{n \delta T_{\rm NZ} v_{Ex}}{n T v_{\rm the} \rho_*^2} \sim \frac{\delta T_{\rm NZ}}{T} \frac{c k_y \phi_{\rm NZ}}{B v_{\rm the} \rho_*^2} \sim k_y \rho_e \left(\frac{e \phi_{\rm NZ}}{T \rho_*}\right)^2 \\ &\sim \frac{\gamma_{\rm Z}}{v_{\rm the}/L_T} \left(\frac{e \phi_{\rm Z}}{T \rho_*}\right)^2 \end{aligned}$$



$$h = h_{NZ} + h_{Z}, \quad \phi = \phi_{NZ} + \phi_{Z}$$

$$\begin{split} \frac{k_{\rm Z} \frac{e\phi_{\rm Z}}{T} \sim \frac{1}{L_T}}{\frac{\phi_{\rm NZ}^2}{\phi_{\rm Z}^2} \sim \frac{1}{k_y \rho_{\rm c}} \frac{\gamma_{\rm Z}}{v_{\rm the}/L_T}} \\ \frac{Q}{Q_{\rm gB}} \sim \frac{n \delta T_{\rm NZ} v_{\rm Ex}}{n T v_{\rm the} \rho_{*}^2} \sim \frac{\delta T_{\rm NZ}}{T} \frac{c k_y \phi_{\rm NZ}}{B v_{\rm the} \rho_{*}^2} \sim k_y \rho_e \left(\frac{e \phi_{\rm NZ}}{T \rho_{*}}\right)^2 \\ \sim \frac{\gamma_{\rm Z}}{v_{\rm the}/L_T} \left(\frac{e \phi_{\rm Z}}{T \rho_{*}}\right)^2 \sim \frac{\gamma_{\rm Z}/k_{\rm Z}^2 \rho_e^2}{v_{\rm the}/a} \frac{a}{L_T} \end{split}$$

Note that we do not need to know either  $k_y$  or  $k_z$ 



$$h = h_{NZ} + h_{Z}, \quad \phi = \phi_{NZ} + \phi_{Z}$$

$$k_{\rm Z} \frac{e\phi_{\rm Z}}{T} \sim \frac{1}{L_T}$$

$$\frac{\phi_{\rm NZ}^2}{\phi_{\rm Z}^2} \sim \frac{1}{k_y \rho_{\rm A}} \frac{\gamma_{\rm Z}}{v_{\rm the}/L_T}$$

$$\frac{Q}{Q_{\rm gB}} \sim \frac{n\delta T_{\rm NZ} v_{Ex}}{nT v_{\rm the} \rho_{*}^2} \sim \frac{\delta T_{\rm NZ}}{T} \frac{c k_y \phi_{\rm NZ}}{B v_{\rm the} \rho_{*}^2} \sim k_y \rho_e \left(\frac{e\phi_{\rm NZ}}{T \rho_{*}}\right)^2$$

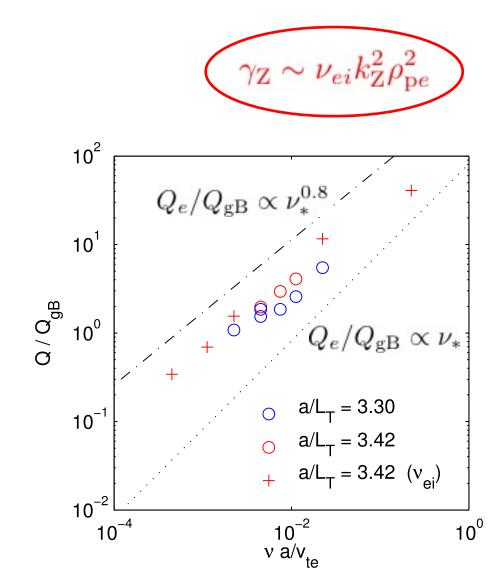
$$\sim \frac{\gamma_{\rm Z}}{v_{\rm the}/L_T} \left(\frac{e\phi_{\rm Z}}{T \rho_{*}}\right)^2 \sim \frac{\gamma_{\rm Z}/k_{\rm Z}^2 \rho_e^2}{v_{\rm the}/a} \frac{a}{L_T} \sim \frac{\nu_{ei}}{v_{\rm the}/a} \left(\frac{B}{B_{\rm p}}\right)^2 \frac{a}{L_T}$$

Note that we do not need to know either  $k_y$  or  $k_z$ 



$$h = h_{NZ} + h_{Z}, \quad \phi = \phi_{NZ} + \phi_{Z}$$

$$\begin{aligned} k_{\rm Z} \frac{e\phi_{\rm Z}}{T} &\sim \frac{1}{L_T} \\ \frac{\phi_{\rm NZ}^2}{\phi_{\rm Z}^2} &\sim \frac{1}{k_y \rho_e} \frac{\gamma_{\rm Z}}{v_{\rm the}/L_T} \\ \\ \frac{Q}{Q_{\rm gB}} &\sim \frac{\nu_{ei}}{v_{\rm the}/a} \left(\frac{B}{B_{\rm p}}\right)^2 \frac{a}{L_T} \end{aligned}$$
 q. e. d.



#### **Conclusions**

- Electrostatic GK with kinetic electrons adequately describes ion-scale turbulence in the presence of flow shear in MAST.
- This turbulence is subcritical.
- The transition to turbulence occurs via an intermediate state dominated by long-lived coherent structures, which become more numerous away from threshold until eventually overlapping, breaking up and turning into vanilla ITG turbulence.
- Experiment appears to sit at the boundary of these regimes.
- ➤ Tilted correlation functions and skewed density distributions are distinctive properties of the near-threshold regime. Symmetry is restored away from the threshold.
- ETG turbulence near threshold has a long-time saturated regime dominated by zonal flows, rather than settling in the usual "streamery" state.
- Heat flux in this regime scales linearly with collisionality, consistent with experimental scaling reported for MAST; the origin of this scaling is the slow decay rate of the zonal flows set by collisional viscosity.

F. van Wyk et al. 2016, JPP 82, 905820609
F. van Wyk et al. 2017, PPCF 59, 114003
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G. J. Colyer et al. 2017, PPCF 59, 055002

### Transition to subcritical turbulence in a tokamak plasma

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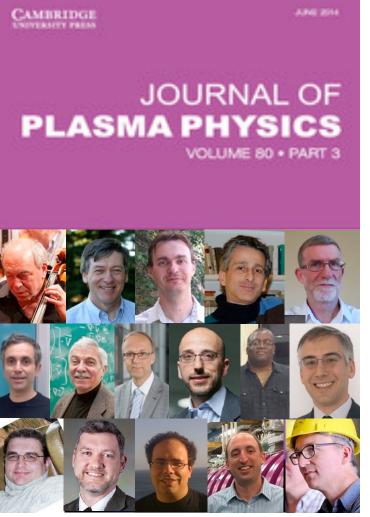
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Tokamak turbulence, driven by the ion-temperature gradient and occurring in the presence of flow shear, is investigated by means of local, ion-scale, electrostatic gyrokinetic simulations (with both kinetic ions and electrons) of the conditions in the outer core of the Mega-Ampere Spherical Tokamak (MAST). A parameter scan in the local values of the ion-temperature gradient and flow shear is performed. It is demonstrated that the experimentally observed state is near the stability threshold and that this stability threshold is nonlinear: sheared turbulence is subcritical, i.e. the system is formally stable to small perturbations, but, given a large enough initial perturbation, it transitions to a turbulent state. A scenario for such a transition is proposed and supported by numerical results: close to threshold, the nonlinear saturated state and the associated anomalous heat transport are dominated by long-lived coherent structures, which drift across the domain, have finite amplitudes, but are not volume filling; as the system is taken away from the threshold into the more unstable regime, the number of these structures increases until they overlap and a more conventional chaotic state emerges. Whereas this appears to represent a new scenario for transition to turbulence in tokamak plasmas, it is reminiscent of the behaviour of other subcritically turbulent systems, e.g. pipe flows and Keplerian magnetorotational accretion flows.

Key words: fusion plasma, plasma instabilities, plasma simulation

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# Three-dimensional magnetohydrodynamic equilibria with continuous magnetic fields

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A brief critique is presented of some different classes of magnetohydrodynamic equilibrium solutions based on their continuity properties and whether the magnetic field is integrable or not. A generalized energy functional is introduced that is comprised of alternating ideal regions, with nested flux surfaces with an irrational rotational transform, and Taylor-relaxed regions, possibly with magnetic islands and chaos. The equilibrium states have globally continuous magnetic fields, and may be constructed for arbitrary three-dimensional plasma boundaries and appropriately prescribed pressure and rotational-transform profiles.

**Key words:** magnetized plasmas, plasma energy balance

# Gyrokinetic continuum simulation of turbulence in a straight open-field-line plasma

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Five-dimensional gyrokinetic continuum simulations of electrostatic plasma turbulence in a straight, open-field-line geometry have been performed using a full-f discontinuous-Galerkin approach implemented in the Gkeyll code. While various simplifications have been used for now, such as long-wavelength approximations in the gyrokinetic Poisson equation and the Hamiltonian, these simulations include the basic elements of a fusion-device scrape-off layer: localised sources to model plasma outflow from the core, cross-field turbulent transport, parallel flow along magnetic field lines, and parallel losses at the limiter or divertor with sheath-model boundary conditions. The set of sheath-model boundary conditions used in the model allows currents to flow through the walls. In addition to details of the numerical approach, results from numerical simulations of turbulence in the Large Plasma Device, a linear device featuring straight magnetic field lines, are presented.

**Key words:** plasma heat loss, plasma sheaths, plasma simulation

## On non-local energy transfer via zonal flow in the Dimits shift

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The two-dimensional Terry-Horton equation is shown to exhibit the Dimits shift when suitably modified to capture both the nonlinear enhancement of zonal/drift-wave interactions and the existence of residual Rosenbluth-Hinton states. This phenomenon persists through numerous simplifications of the equation, including a quasilinear approximation as well as a four-mode truncation. It is shown that the use of an appropriate adiabatic electron response, for which the electrons are not affected by the flux-averaged potential, results in an  $E \times B$  nonlinearity that can efficiently transfer energy non-locally to length scales of the order of the sound radius. The size of the shift for the nonlinear system is heuristically calculated and found to be in excellent agreement with numerical solutions. The existence of the Dimits shift for this system is then understood as an ability of the unstable primary modes to efficiently couple to stable modes at smaller scales, and the shift ends when these stable modes eventually destabilize as the density gradient is increased. This non-local mechanism of energy transfer is argued to be generically important even for more physically complete systems.

Key words: fusion plasma, plasma instabilities, plasma nonlinear phenomena